UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF FLORIDA Miami Division CASE NO. 97-3924-CIV-LENARD-SIMONTON

JERRY GREENBERG, individually, and IDAZ GREENBERG, individually,

Plaintiffs,

vs.

NATIONAL GEOGRAPHIC SOCIETY, a District of Columbia corporation, NATIONAL GEOGRAPHIC ENTERPRISES, INC., a corporation, and MINDSCAPE, INC., a California corporation,

Defendants.

AFFIDAVIT OF JERRY GREENBERG

1. My name is Jerry Greenberg. I am a plaintiff in the above-captioned action. The statements in this affidavit are based on my personal knowledge.

2. On December 18, 1985, the National Geographic Society ("the Society")

conveyed to me "all right, title and interest, including copyright" to photographs taken by me that had appeared in articles in the Society's monthly magazine in 1962, 1968 and 1971. Ex. A.¹ In 1988 I filed a Certificate of Recordation with the U.S. Copyright Office to give public notice of my ownership of the copyrights encompassed in the conveyance document. Ex. B.

¹ All exhibits referenced in this affidavit are attached hereto and incorporated herein.

3. I filed three renewal certificates with the Copyright Office, each relating to, and limited to, the photographs published in the 1962, 1968 and 1971 issues of the monthly magazine discussed above. Exhibit E.

4. On June 14, 1989, the Society agreed to convey to me "all rights" to photographs taken by me for inclusion in an article that was published in the Society's monthly magazine in July of 1990. Ex. C. The 1989 agreement said that "all photographs will be returned to you along with all rights to said photographs." Ex. C. In the agreement, certain conditions were attached to the conveyance of rights, all of which I complied with. All photographs were returned to me by the Society by July 1990 (color plates for printing the article already had been prepared).

5. In July of that year, after all of those photographs, and the rights to those photographs, had been returned to me, I filed with the Copyright Office a Certificate of Copyright Registration with respect to photographs used in the July 1990 article. Ex. D. In box 2 of the registration form is a request to describe the "nature of the material created by this author in which copyright is claimed." In that space I inserted "photographs on pages 114, 115, 118, 119, 120, 121, 122, 123, 126, 127, 130, 132." I intended to register nothing else.

6. On the 1990 registration form, Exhibit D, in box 6, is a reference to a "derivative work" that originally appeared in the January 1962 magazine, and which consisted of a composite panoramic view, made from five photographs, of the Carysfort Reef in the Pennekamp State Park (an underwater park). That panoramic view was re-printed in the July 1990 article on pages 118, 119 and 120. When I completed the form, I did not know whether the panoramic view from the 1962 article legally qualified as a derivative work. On those same pages in the

1990 article appeared a single photograph, taken in 1989 from the same location as in the 1962 photographs, intended to show how the Carysfort Reef had disintegrated with time. The "reconstruction" mentioned in box 6 of the 1990 registration referenced the single photograph taken in 1989, because the photograph reconstructed the 1962 view.

7. Another comparative set of photographs, both showing the same view of the Molasses Reef, appeared on pages 121, 122 and 123 in the 1990 article. The first set was made by me in 1983. The second, shot at the same spot underwater, I made in 1989. Each set consisted of two photographs spliced into a panoramic view of the Molasses Reef.

8. In January 1962, 31 separate photographs taken by me, and encompassed in the 1985 transfer of rights, were published in the Society's monthly magazine. The number 31 does not include the cover photograph for that issue, which displayed a photograph included within the article in that issue. The number 31 does include the composite panoramic view of the Carysfort Reef, which is counted as one photograph. Copies of my photographs in that issue of the magazine are attached as Exhibit F.

9. In February 1968, 10 separate photographs taken by me, and encompassed in the 1985 transfer of rights, were published in the Society's monthly magazine. The number 10 does not include the cover photograph for that issue, which displayed a photograph included within the article in that issue. Copies of my photographs in that issue of the magazine are attached as Exhibit G.

10. In May 1971, 8 separate photographs taken by me, and encompassed in the 1985 transfer of rights, were published in the Society's monthly magazine. Copies of my photographs in that issue of the magazine are attached as Exhibit H.

11. In July 1990, 12 separate photographs taken by me, and encompassed in the 1989 agreement to transfer rights to me, were published in the Society's monthly magazine. The number 12 includes the single-photo panoramic view of the Carysfort Reef that I shot in 1989. The number 12 includes the two panoramic views of the Molasses Reef described in paragraph 5 above, each of which is counted as one photograph. The number 12 does not include the panoramic view of Carysfort Reef which had been published originally in the January 1962 article and was re-printed in the July 1990 article. Copies of my photographs in the July 1990 issue of the magazine are attached as Exhibit J.

12. After rights to the photographs described in the paragraphs above were returned to me, I had various opportunities to make other commercial use of the photographs. I licensed the use of certain of the photographs to an advertising agency and to a publisher, for agreed compensation. Numerous photographs among those discussed in this affidavit have been reprinted by my wife and myself in various books marketed through our own company, Seahawk Press, particularly in the period between 1985 and 1997. Still other overtures were made to me in that period by other parties regarding the republication of certain of the photographs, but for various reasons I declined those proposals.

AFFIANT SAYS NOTHING FURTHER.

STATE OF FLORIDA COUNTY OF MIAMI-DADE

This acknowledges that Jerry Greenberg appeared personally before me and stated under oath that the facts contained in the affidavit above are true and correct. Mr. Greenberg is

personally known to me provided his Florida DrivERS LOENSE as identification. Executed by me this 19^{+n} day of hpeil, 2000

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Notary Public signature



EXHIBIT A

National Geographic Society

SEP 16.1988

WASHINGTON, D.C. 20036

SUZANNE DUPRÉ Corporate Counsel December 18, 1985

Mr. Jerry Greenberg SEAHAWK PRESS 6840 SW 92nd Street Miami, Florida 33156

Dear Mr. Greenberg:

In reply to your letter of November 15th to Mr. Garrett, the National Geographic Society hereby assigns to you all right, title and interest, including copyright, in your photographs appearing in <u>National Geographic Magazine</u>, as follows:

> January, 1962 Vol. 121, No. 1

Photos on cover and pages 58 through 89

Registration No. B-960824 Date: March 22, 1962

- February, 1968 Vol. 133, No. 2

Photos on cover and pages 222-223, 225, 226-227, 238, 240-241 and 251

Registration No. B-402772 Date: January 31, 1968

• May, 1971 Vol. 139, No. 5

ratt

District of Columbia :ss:

Photos on pages 674 through 683

ECEMBER num Notary Public <u>washington, D. C</u>.

My Commission Empires January 31, 1988

Registration No. B-701984 Date: July 15, 1971

Sincerely yours,

Junpanne Dingerie

EXHIBIT A Editor

EXHIBIT B

Copyright Office of the United States THE LIBRARY OF CONGRESS

Certificate of Recordation

THIS IS TO CERTIFY THAT THE ATTACHED DOCU-MENT WAS RECORDED IN THE COPYRIGHT OFFICE ON THE DATE AND IN THE PLACE SHOWN BELOW.

THIS CERTIFICATE IS ISSUED UNDER THE SEAL OF THE COPYRIGHT OFFICE.

DATE OF RECORDATION

16Sep88

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2391	422
	PAGE

SCOPYA HR IBRARY OF CON

Register of Copyrights and Assistant Librarian for Copyright Services

Certificate of Recordation C-762 September 1987—40,000

National Geographic Magazine

WASHINGTON, D. C. 20036

June 14, 1989

KENT J. KOBERSTEEN Assistant Director of Photography

> Mr. Jerry Greenberg 6840 S.W. 92nd Street Miami, FL 33156

Dear Jerry:

This letter, when signed by both parties, will constitute your agreement as a freelance photographer with the National Geographic Society ("NGS") to photograph Pennekamp Reef Park(#05738) for <u>National Geographic</u> Magazine.

1) This assignment will extend for 20 days. Your compensation will be at the rate of:

(a) \$350 per day for days spent shooting or in field research (i.e., research directly germane to producing the coverage); and

(b) one half of the above rate per day for days spent in travel (including arranging or waiting for travel); on standby, in the field or elsewhere; or in consulting with NGS editors ("editorial days").

2) Your compensation is subject to the following provisions:

(a) Work beyond the anticipated assignment days mentioned above will be compensated at the same daily rates as above. Should it become necessary to extend this assignment for additional days, you must get authorization to do so from the Director of Photography, the Assistant Director of Photography or the Illustrations Editor assigned to the project.

(b) The total compensation paid to you for this assignment will be applied against the page rate of \$300 a page for the <u>National</u> <u>Geographic</u> Magazine, or a minimum of \$100 a transparency, whichever is greater.

3) When working for NGS, you will carefully avoid doing similar work for publications which NGS would consider to be editorially competitive with it. You will advise NGS promptly of any possible conflict of interest that may develop. You also will take care not to grant any prepublication interviews or assist in any way in the preparation of any prepublication articles or other press coverage in any medium which would reveal the subject matter, editorial content or the scheduling of an assignment, article or story for the National Geographic Society.

EXHIBIT C

Mr. Jerry Greenberg (Pennekamp Reef Park/#05738)

4) By this Agreement you warrant to NGS that publication of any photographs taken by you on this assignment will not infringe upon any right of privacy, copyright or any other proprietary right of a third party.

-2-

5) All photographs taken by you under this Agreement will be considered as specially commissioned for use by NGS and upon creation all rights, including the copyright and world publication rights, to these photographs will automatically, by virtue of this Agreement, be deemed transferred exclusively and indefinitely to NGS, subject to the following provisions:

(a) all photographs will be returned to you along with all rights to said photographs under the following conditions: (i) none may be made available to anyone for publication until sixty days after NGS has published its selections; (ii) it is understood that any necessary rights clearance or release for non-NGS publication is your independent responsibility; and (iii) NGS may make and retain copies of some of the photographs ("reference selects") for reference purposes only in its Illustrations Library;

(b) you grant to NGS without additional charge the right to use your name, likeness and biographical material in connection with the publication of any photographs retained by NGS under this Agreement;

(c) NGS may crop your photographs.

6) You undertake all work under this Agreement as an independent contractor. NGS assumes no responsibility for your health, safety or property or that of any person accompanying or assisting you. While on assignment in the field you will be covered by NGS's accident insurance policy, which provides a payment of \$200,000 to you in the event of total disability or to your beneficiary, specified below, in the event of death, and lesser coverage for other injuries. The policy also provides up to \$10,000 for excess medical coverage, i.e. for costs beyond those covered by your own personal accident and health coverages. The above coverage is restricted to you alone.

7) While you are on NGS assignment, NGS will pay or reimburse you for all reasonable expenses and will supply you with film and processing. At the close of this assignment you will provide NGS with a diary of your activities while on assignment, including an accurate and complete record of the people and places represented in your photographs. A final accounting of expenses, supplies, etc. for an assignment will be due to NGS no later than two weeks after the work on that assignment is finished. Failure to reconcile expense/supply accounts with NGS in a timely manner may impede payment of fees.

8) Your work on this assignment will not be considered complate until so indicated by the Illustrations Editor. At the end of the assignment you will deliver to NGS all photographs you have taken on this assignment as well as complete captions for your photographs. You will be available for a projection session with the editor to explain your work. If you are

June 14, 1989

Mr. Jerry Greenberg (Pennekamp Reef Park/#05738) -3-

called back for editorial consultation, you will be paid a fee of \$175 per day plus expenses.

9) This agreement cannot be modified except by written instrument Į. signed by both of us.

If the foregoing is acceptable, please sign and return the enclosed copy of the Agreement to me.

Sincerely yours, NATIONAL GEOGRAPHIC SOCIETY By

Kent J. Kobersteen

ccented: reed ัดกาต Date Social Security/ Federal ID# Check to be Written to the Order of Line to read redit ALL RIGHTS RESERVED

Vqqieae

Beneficiary

(For NGS records): Executed copy received:

Date

KJK/mac

EXHIBIT D

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	National Geogra	aphic Magazine
	If published in a periodical or serial give: Volume V Number V	uly, 1990 pgs. 114-132 + assg
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EXHIBIT E

CERTIFICATE OF RENEWAL EGISTRATION

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	2	Name
	3	Name
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4 Fecta of		* VA 402-545 National Geographic Society : original claimant assignment of copyright to Jerry Greenberg 12/18/8 Cert. of Recordation 16/Sept88, Vol 2391, pg.422
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	work identified in this application, and that the statements work identified in this application, and that the statements work in the provide correct to the best of my knowledge. Handwritten signature: X1 Handwritten signature	Certification (Application must be signed)
	Jerry Greenberg (Name) MAIL 6840 SW 92nd Street CERTIFICATE Miami, Florida 33156 (City) (State) (ZIP code)	8 Address for Return of Cortificate

Apr. 1978--500,000

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· .	TIFICATION: 1, the undersigned, hereby certify that 1 ar Trenewal claimant. D duly authorized	geven on the	····	(Name of Jenewal Claimant)	• • • • • • •	\bigcirc
of th	e work identified in this application, and that the statement	is made ov me	in this application are con	rect to the best of my knowledge.		Certificat
	Handwritten signature: H		Greenberg	/		(Applicat must b
	Typed or printed name: .		D	ate:January .16, . 1999		algned
				MA	IL	
	Jerry Greenbe	STR		CERTIF	ICATE	
		famment	· · · ·	T	0	Address
	6840 SW 92nd	Neet and Acarlmon	pt Number)	(Dentil)		Return Cortific
	Miami, Flor: (Chy)	(Sinte)	(ZiP code)	window e	ate wiii iled in invelope)	
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EXHIBIT F

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EXHIBIT G

SHARKS:

By NATHANIEL T. KENNEY Material Cooperative Sension Staff

BARING BAZONESHARP TEETH, a mine food front hummerical provide of Keal Large, Florida. Placement of ruse and morthic the ends of lobes, competent of ruse and morth's working morements, competent firs block's food-services second character the son. The flattened head, like an intracted the son. The flattened head, like an intracted is wire, aids moneou erability pointing, page 2323.

Wolves of the Sea

Y MASK AND SNORKEL I was diving in 15 feet to water off the western shore of North Bimun, two Bahana island. A jumble of coral serur-steel boulders fits on the bottom sands at this point, among them dwell thousands of brilliandy colored small hibles.

One moment 1 was surrounded by these vivid jewels of the warm sult seas. In the next 1 was unextly, alone, for my small remark had all dashed for shelter nuo crevices in the rocks or to conceding impulse of grass and sea hats. Turning seaward to discover what had alarmed them, 1 sus a shadow in the depth, graw into an oright-fon-fong torelo heided directly at me

me 20 feet away it turned broadside. An eye the size

2











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EXHIBIT H

















EXHIBIT J





















